



RETIREMENT PLAN

INTERNAL FR Application for Membership and Confirmation of Previous Creditable Service RP1

NAME (LAST, FIRST, INITIAL)	SOCIAL SECURITY NUMBER — —	DATE OF BIRTH / /	SEX
FEDERAL RESERVE BANK/BOARD	DATE OF CURRENT EMPLOYMENT / /	DATE OF CURRENT MEMBERSHIP / /	

SEE REVERSE SIDE FOR IMPORTANT INFORMATION

1. ☐ I, the undersigned employee, hereby apply for enrollment in the membership of the Retirement Plan and have not had previous service with the Federal Reserve System.

EMPLOYEE'S SIGNATURE

DATE
/ /

HOME ADDRESS

2. **Enrollment**—In accordance with the provisions of the Retirement Plan and rules prescribed by the Committee on Employee Benefits, this Federal Reserve office hereby enrolls the above-named employee in the membership of the Plan, effective on the date of membership shown above.

AUTHORIZED SIGNATURE

TITLE

DATE
/ /

3. **Prior service with the Federal Reserve System, federal government agency, District of Columbia government, Peace Corp, VISTA, or active duty military**

☐ I have had previous service with the Federal Reserve System, federal government agency, District of Columbia government, Peace Corp, VISTA, or active duty military and retired under the Plan effective / /

☐ I have had previous service with the Federal Reserve System, federal government agency, District of Columbia government, Peace Corp, VISTA, or active duty military which I believe was at one time creditable service under the Plan, but did not retire (Complete Sections 4 & 5). The table below shows the inclusive dates of the service and the employer with which it was performed. I ask for confirmation that the service was at one time creditable service under the Plan.

From	To	Employer, Including military

4. **Member's other names during periods stated** (Give other names, if any, and dates in use.)

5. **Member's signature**—I understand that the purpose of this form is to identify the portion of any previous service that was at one time creditable service under the Plan; that confirmation of any creditable service on this form does not constitute a reinstatement of credit for the service, and that reinstatement of such credit occurs only under the terms and conditions stated in the Plan.

SIGNATURE

DATE
/ /

6. **Employer's acknowledgment**—The employer named above hereby acknowledges receipt of this request.

AUTHORIZED SIGNATURE

TITLE

DATE
/ /

7. **Confirmation of service—Service before 1971**—The Office of Employee Benefits hereby confirms that its records show that the following service of the member was at one time creditable service under the Plan:

From	To	Employer

Summary:

YEARS

MONTHS

DAYS
/ /

OFFICER'S SIGNATURE

TITLE

DATE
/ /

8. **Service during and after 1971** The Federal Reserve Bank named above hereby confirms that its records, and the transcripts of records of any other employer named in Section 3, show that the following service of the member was at one time vested service under the Plan.

From	To	Employer	Lump Sum Payout: Y/N
			CHECK ONE: <input type="checkbox"/> YES <input type="checkbox"/> NO

Summary:

YEARS

MONTHS

DAYS
/ /

Terms and Provisions

1. Ordinarily, the date of membership will be the same as the date of employment, but there may be an instance in which an employee fails to meet the requirements for membership until a later date. For that employee, the date of membership would be the later date.
2. If previous service is indicated in Section 3, the employer will start an inquiry to determine and confirm the portion of it, if any, that at one time was creditable service under the Plan.

Retirement Plan for Employees of the Federal Reserve System as amended effective January 1, 1997

Section 2. Definitions

2.15 "Employer" means any Federal Reserve Bank; the Board of Governors of the Federal Reserve System, and the Office of the Plan.

2.14 "Employee" means any person who is in the regular employment of an employer, other than an Ineligible Employee, but the term shall not include any person upon the date of hire whose customary employment is determined by his/her employer is less than 780 hours per year. Without limiting the generality of the foregoing, no "leased employee" of an employer, within the meaning of Section 414(n)(2) of the [Internal Revenue] Code, shall be considered in the regular employment of an employer.

2.20 "Member" means any person enrolled in the membership of the Plan as provided in Section 3.

2.30 "Service" means the most recent period of an employee's continuous employment as an employee of an employer subsequent to March 1, 1934. Service shall also include periods of vacation, authorized leaves of absence, and periods while a member is in military or public service, as provided in Section 7.2.

2.10 "Creditable service" means service subsequent to the date as of which an employee was enrolled in the membership of the Plan pursuant to Section 3. Creditable Service shall include:

(a) Periods while a member is on a nonmilitary authorized leave of absence, provided the employer shall continue its contributions in respect of such member as provided in Section 15, unless such leave of absence shall exceed 12 consecutive months. By special arrangement made in advance and the payment of any additional costs thereof by the employer, the Committee in its discretion may extend the period over which any such leave of absence may be prolonged.

(b) Periods while a member is disabled as provided in Section 12, and may include periods while a member is in military or public service as provided in Section 7.2.

(c) In the case of a member who had participated in the Board of Governors Plan and who upon termination of such participation continued as a member of this Plan, all Creditable Service of record in respect of which contributions has been made to the Trust by the Board of Governors. See Plan.

(d) In the case of a member with five or more years of creditable service who terminated his employment with an employer on or after January 1, 1970 and before January 1, 1989 and thereafter returned to employment with an employer before the expiration of 24 full calendar months immediately following such termination, all Creditable Service of record at the time of such termination of employment.

(e) In the case of member who terminated his employment with an employer and thereafter returned to employment with an employer before the expiration of the greater of (i) 60 full calendar months immediately following such termination, or (ii) the number of calendar months of creditable service of record prior to such termination, all creditable service of record at the time of such termination of employment which he has not regained under the provisions of Subsection (d) of this Section 2.10; provided, however, that this Subsection (e) shall not apply to a member who was not in the employment of an employer on or after January 1, 1985; and provided further that this Subsection (e) shall not apply if the member's return to employment with an employer occurs on or after January 1, 1985 and such member fails to render creditable service for at least 12 full consecutive calendar months immediately following such return to employment.

(f) Periods of creditable service rendered by a member on or after March 1, 1934, and prior to the date he last became a member, which he had lost because of termination of service or otherwise, and has not regained under the provisions of Subsections (d) or (e) of this Section 2.10, shall, in accordance with rules prescribed by the Committee, be counted as creditable service (measured in complete months and commencing with the most recent month of such forfeited creditable service) to the extent that his creditable service (measured in complete months and, for purposes of Sections 5.1, adjusted as provided in Section 5.2) since he last became a member, equals a portion of any such forfeited creditable service.

(g) Creditable service shall not include any period during which an employee is a contributing member of the Civil Service Retirement and Disability Fund except as otherwise provided in Section 2.10(i).

(h) Notwithstanding Section 2.10(g), if a member irrevocably renounces, in such manner as the Committee shall require, creditable service under the Civil Service Retirement and Disability Fund for his periods of employment with the Board of Governors, creditable service shall include such periods of employment with the Board of Governors.

(i) Notwithstanding Subsections (d), (e) and (f) of this Section 2.10, creditable service of record at the time of a member's termination of employment which would otherwise have been credited upon such member's return to employment under any of such subsections shall not be so credited if a lump sum payment has been made to such member on account of such creditable service pursuant to Section 9.2.

Notwithstanding the preceding provisions of this Section 2.10, creditable service shall not include any period during which an employee is a "leased employee" of an employer, within the meaning of Section 414(n)(2) of the [Internal Revenue] Code.

2.42 "Vesting service" shall include (i) service that is treated as creditable service under Section 2.10, determined without regard to the application of Subsection (k) of Section 2.10, (ii) in the case of a person who had participated in this Plan and after termination of such participation was employed by the Board of Governors, periods during which such person was so employed and was not a participant in either this Plan or in the Board of Governors Plan and (iii) such other periods as shall be so included pursuant to the provisions of the code. See Plan.

Section 3. Membership

3.1 Each Employee shall be a member of the Plan as a condition of his employment, and shall be automatically enrolled in the Plan by his/her Employer in accordance with rules prescribed by the Committee.

3.2 Except as otherwise provided in Sections 12 and 7.2 membership shall cease on the death or termination of service of a Member before his right to a pension has vested.

3.3 A transfer by a Member from the Service of one Employer directly to the Service of another Employer shall not affect his membership in the plan.